

Case No. 4396D1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

King et al.

Serial No.: 09/612,829

Filed: July 7, 2000

For: **SAMPLE HANDLING SYSTEM FOR A  
MULTI-CHANNEL CAPILLARY  
ELECTROPHORESIS DEVICE**



Group Art Unit: To be assigned

Examiner: To be assigned

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on

Aug. 25 2000  
(Date of Deposit)

Mila T. Kasan  
Name of Depositing Party

[Signature]  
Signature of Depositing Party

## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information (listed on the attached Form PTO-1449) of which they are aware, which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56.

This Information Disclosure Statement:

- (a) ☐ accompanies the new patent application submitted herewith. 37 CFR §1.97(a).
- (b) ☒ is filed within three months after the filing date of the application or within three months after the date of entry of the national stage of a PCT application as set forth in 37 CFR §1.491.
- (c) ☐ as far as is known to the undersigned, is filed before the mailing date of a first Office action on the merits.
- (d) ☐ is filed after the first Office Action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned, prior to the mailing date of either a final rejection or a notice of allowance, whichever occurs first, and is accompanied by either the fee (\$240) set

forth in 37 CFR §1.17(p) **or** a certification as specified in 37 CFR §1.97(e), as checked below. Should any fee be due, the U.S. Patent and Trademark Office is hereby authorized to charge Deposit Account No. 01-2213 in the amount of \$240.00 to cover the cost of this Information Disclosure Statement. Any deficiency or overpayment should be charged or credited to this deposit account. **A duplicate of this sheet is enclosed.**

- (e) ☐ is filed after the mailing date of either a final rejection or a notice of allowance, whichever occurred first, and is accompanied by the fee (\$240) set forth in 37 CFR §1.17(i)(1) **and** a certification as specified in 37 CFR §1.97(e), as checked below. **This document is to be considered as a petition requesting consideration of the information disclosure statement.** The U.S. Patent and Trademark Office is hereby authorized to charge Deposit Account No. 01-2213 in the amount of \$240.00 to cover the cost of this Information Disclosure Statement. Any deficiency or overpayment should be charged or credited to this deposit account. **A duplicate of this sheet is enclosed.**

[If either of boxes (d) or (e) is checked above, the following "certification" under 37 CFR §1.97(e) may need to be completed.] The undersigned certifies that:

- ☐ Each item of information contained in the information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this information disclosure statement.

A copy of the items on PTO-1449 is supplied herewith:

☐ each ☐ none ☒ only those listed below:

WO99/38005                      7/29/99                      PCT

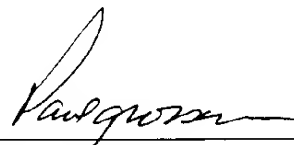
**Those patent(s) or publication(s) which are marked with an asterisk (\*) in the attached PTO-1449 form are not supplied because they were previously cited by or submitted to the Office in a prior application Serial No. 09/152,590, filed September 14, 1998 and relied upon in this application for an earlier filing date under 35 USC §120.**

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR §1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR §1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR §1.98 and MPEP §609 and the Examiner is respectfully requested to consider the listed references.

Respectfully submitted,

Date: 8/25/00



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U.S. Department of Commerce, Patent and Trademark Office		Atty Docket	Serial No.
		4396D1	09/612,829
REFERENCES CITED BY APPLICANTS		Applicant	
(Use several sheets if necessary)		King et al.	
		Filing Date	Group
		July 7, 2000	To be assigned
U.S. Patent Documents			

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	1.*	4,811,218	03/07/89	Hunkapiller et al.			
	2.*	5,274,240	12/28/93	Mathies et al.			
	3.*	5,356,525	10/18/94	Goodale et al.			
	4.*	5,439,578	08/08/95	Dovich et al.			
	5.*	5,516,409	05/14/96	Kambara, Hideki			

Foreign Patent Documents							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	6.*	FR2628215	09/08/89	France				X
	7.*	DE4230354	03/18/93	Germany				X
	8.*	WO9803862	01/29/98	PCT			X	
	9.*	EP0854362	07/22/98	Europe			X	
	10.	WO9938005	07/29/99	PCT			X	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
11.*	Woolley et al., "High-Speed DNA Genotyping Using Microfabricated Capillary Array Electrophoresis Chips," <i>Anal. Chem.</i> 69(11):2181-2186 (June 1, 1997)
Examiner	Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.